

PRIVACY POLICY NOTICE

MGO One Seven LLC d/b/a: MGO One Seven Privacy Policy Notice

June 3, 2022

MGO One Seven has adopted this privacy policy with recognition that protecting the privacy and security of the personal information we obtain about our customers is an important responsibility. We also know that you expect us to service you in an accurate and efficient manner. To do so, we must collect and maintain certain personal information about you. We want you to know what information we collect and how we use and safeguard that information.

Information We Collect: We collect certain nonpublic information about you ("Customer Information"). The essential purpose for collecting Customer Information is to allow us to provide advisory services to you. Customer Information we collect may include:

- Information that you provide on applications or other forms. This Customer Information may include personal and household information such as income, spending habits, investment objectives, financial goals, statements of account, and other records concerning your financial condition and assets, together with information concerning employee benefits and retirement plan interests, wills, trusts, mortgages and tax returns.
- Identifying information such as your name, age, address, social security number, etc.
- Information about your transactions with us, or others (e.g., broker-dealers, clearing firms, or other chosen investment sponsors).
- Information we receive from consumer reporting agencies (e.g., credit bureaus), as well as other various materials we may use to provide an appropriate recommendation or to fill a service request.

Security of Your Information: We restrict access to your nonpublic personal information to those employees who need to know that information to service your account. We maintain physical, electronic and procedural safeguards that comply with applicable federal or state standards to protect your nonpublic personal information.

Information We Disclose: We do not disclose the nonpublic personal information we collect about our customers to anyone except: (i) in furtherance of our business relationship with them and then only to those persons necessary to effect the transactions and provide the authorized services (such as broker-dealers, custodians, independent managers etc.); (ii) to persons assessing our compliance with industry standards (e.g., professional licensing authorities, consultants, etc.); (iii) our attorneys, accountants, and auditors; or (iv) as otherwise provided by law.

We are permitted by law to disclose the nonpublic personal information about you to governmental agencies and other third parties in certain circumstances (such as third parties that perform administrative or marketing services on our behalf or for joint marketing programs). These third parties are prohibited to use or share the information for any other purpose.

Former Clients: If you decide to close your account(s) or become an inactive customer, we will adhere to our privacy policies, which may be amended from time to time.

Changes to Our Privacy Policy: In the event there were to be a material change to our privacy policy regarding how we use your confidential information, we will provide written notice to you. Where applicable, you would be given an opportunity to limit or opt-out of such disclosure arrangements.

Questions: If you have questions about this privacy notice or about the privacy of your customer information call our main number 216-771-4242 and ask to speak to the Chief Compliance Officer.

MGO Securities Corp.
24400 Chagrin Blvd., Suite 310
Beachwood, Ohio 44122
Privacy Statement
June 4, 2022

MGO Securities Corp. (MGOSC) a broker dealer is committed to safeguarding the confidential information of its clients. Moskal Gross Orchosky Inc. (MGO) is the parent company of MGOSC. We hold all personal information provided to our firms in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by MGOSC.

MGOSC shares office space non-affiliated companies – Richard A. Weiss Attorney at Law (former MGO shareholder) and MGO/OS Holdings, LLC. The non-affiliated firms may have direct access to client information in order to provide legal/investment advisory services as may be applicable to clients' service model.

We have never disclosed information to non-affiliated third parties (other than the firms noted above), except as permitted by law, and do not anticipate doing so in the future. If we were to anticipate such a change in firm policy, we would be prohibited under the law from doing so without advising you first. As you know, we use financial information that you provide to us to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Our policy with respect to personal information about you is listed below.

- } We limit employee and agent access to information only to those who have a business or professional reason for knowing, and only to nonaffiliated parties as permitted by law. (For example, federal regulations permit us to share a limited amount of information about you with a brokerage firm in order to execute securities transactions on your behalf.)
- } We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.
- } The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement.
- } We may disclose the information to entities that perform administrative/custodial services on our behalf or as required by law for legal, regulatory, custodial or other purposes. Regarding our employer sponsored retirement plan clients' participant data may be shared with the employer.
- } We do not provide your personally identifiable information to mailing list vendors or solicitors for any purpose.
- } Personally identifiable information about you will be maintained during the time you are a client, and for the required time thereafter that such records are required to be maintained by federal and state securities laws. After this required period of record retention, all such information will be destroyed.